

THREE PROBLEMS IN
EXTREMAL SET THEORY

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AT CHICAGO

X - finite set

\mathcal{G} - collection of subsets
of X (of size k)

F - specific configuration
of sets (of size k)

How large can $|\mathcal{G}|$ be without
containing a copy of F ?

Example (Sperner 1928)

$|X| = n$; $F = A, B$ s.t. $A \subset B$

$$|\mathcal{G}| \leq \binom{n}{\lfloor n/2 \rfloor}$$

Intersecting families

Theorem (Erdős-Ko-Rado 1961)

Let $n \geq 2k$ and $\mathcal{G} \subset \binom{[n]}{k}$ be

intersecting ($F = \emptyset$). Then

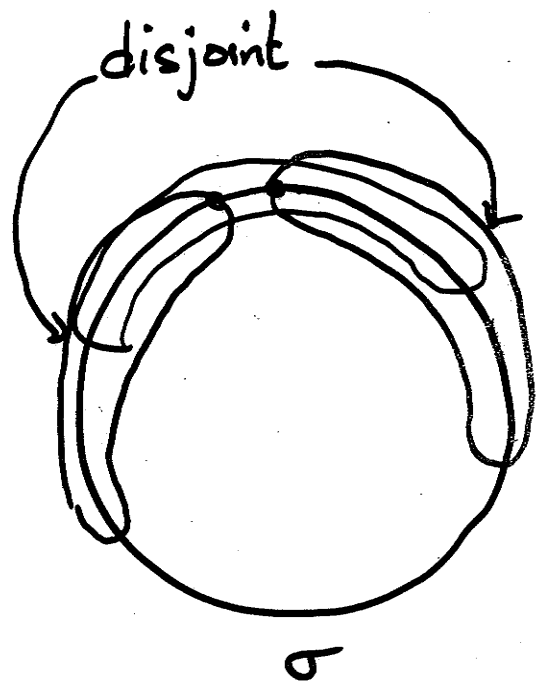
$$|\mathcal{G}| \leq \binom{n-1}{k-1}$$

Proof (Katona)

$$|\mathcal{G}| \leq \frac{\sum_{\sigma} |\mathcal{G}_{\sigma}|}{k!(n-k)!}$$

$$\leq \frac{(n-1)! k}{k!(n-k)!}$$

$$= \binom{n-1}{k-1}$$



Simplices

d -simplex — collection of $d+1$ sets

$$A_1, \dots, A_{d+1} \text{ s.t. } \bigcap A_i = \emptyset$$

$$\text{and } \bigcap_{i \neq j} A_i \neq \emptyset \quad \forall j$$

1-simplex — two disjoint edges

Conjecture (Chvátal 1972)

Let $2 \leq d \leq k$ and $n \geq \frac{(d+1)k}{d}$. Suppose

$G \in \binom{[n]}{k}$ contains no d -simplex.

$$\text{Then } |G| \leq \binom{n-1}{k-1}$$

$d = 1$ Erdős-Ko-Rado

$d = 2$ Conjecture of Erdős (1971)

Triangles

Erdős	1971	Made Conjecture
Chvátal	1974	$k=3$ and generalized
Frankl	1976	$\frac{3k}{2} \leq n \leq 2k$
Bermond-Frankl	1977	infinitely many $n, k,$ $n < k^2$
Frankl	1981	$n > n_0(k) > C^k$
Frankl-Füredi	1987	Chvátal's Conjecture for $n > C^k$
Csankay-Kahn	1999	New proof for $k=3$ using Homology
M-Verstraëte	2004	$n \geq \frac{3k}{2}$

Theorem (Frankl 1978
 Wilson 1984
 Ahlswede-Khachatrian 1997)

Let $n, k, t \geq 2$ and $\mathcal{G} \subset \binom{[n]}{k}$ be
 a t -intersecting family. Then

$$|\mathcal{G}| \leq M(n, k, t), \text{ where}$$

$$M(n, k, t) = \begin{cases} \binom{n-t}{k-t} & n \geq (t+1)(k-t+1) \\ \max_i |\mathcal{G}_i| & n \leq (t+1)(k-t+1) \end{cases}$$

$$\mathcal{G}_i = \left\{ S \in \binom{[n]}{k} : |S \cap [t+2i]| \geq t+i \right\}$$

Theorem (Frankl - Füredi: 1987)

Chvátal's conjecture holds for $n > n_0(k, d)$

What about $n < n_0(k, d)$?

Theorem (Keevash - M 2007⁺)

For all $d \geq 2$ and $C > 2$ there

are integers T, K_0 s.t. if $k > K_0$

$$2k + T < n < Ck$$

and $G \subset \binom{[n]}{k}$ contains no d -simplex,

then

$$|G| < \binom{n-1}{k-1}$$

unless G is a star

Problem (Erdős)

Let $G \subset 2^{[n]}$ be triangle-free.

Then $|G| \leq 2^{n-1} + n - 1$.

Many proofs: Milner, Lossers, M-Verstraëte

Theorem (Keevash-M 2007)

Let $d \geq 2$ and $n > n_0(d)$. Suppose that $G \subset 2^{[n]}$ contains no

d -simplex. Then

$$|G| \leq 2^{n-1} + \sum_{i=1}^{d-1} \binom{n-1}{i}$$

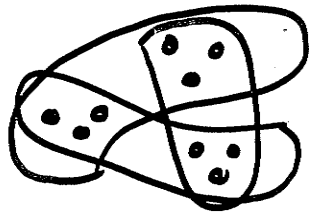
Clusters

Problem (Katona)

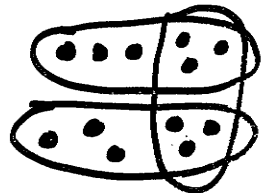
Let $\mathcal{G} \subset \binom{[n]}{k}$ s.t. for every
 $A, B, C \in \mathcal{G}$ with $|A \cup B \cup C| \leq 2k$,
 $A \cap B \cap C \neq \emptyset$.

Then $|\mathcal{G}| \leq ??$

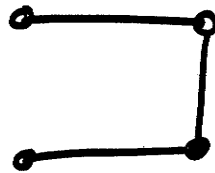
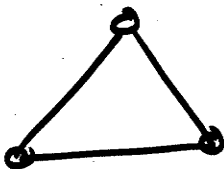
Forbidden



$k=6$



$k=2$ (Graphs)



Theorem (Frankl-Füredi 1983)

In Katona's problem, $|G| \leq \binom{n-1}{k-1}$

as long as $n > k^2 + 3k$ (and this is sharp)

Conjecture (Frankl-Füredi 1983)

Result holds for ALL $n \geq \frac{3k}{2}$

d -cluster — collection of $d+1$

sets A_1, \dots, A_{d+1} s.t.

$$\left| \bigcup_{i=1}^{d+1} A_i \right| \leq 2k \quad \text{and}$$

$$\bigcap_{i=1}^{d+1} A_i = \emptyset$$

1 -cluster — two disjoint edges

Conjecture (M 2005)

Let $1 \leq d \leq k$, $n \geq \frac{(d+1)k}{d}$ and $\mathcal{G} \subset \binom{[n]}{k}$

contain no d -cluster. Then

$$|\mathcal{G}| \leq \binom{n-1}{k-1}$$

- $d = 1$ (Erdős-Ko-Rado)
- $d = 2$ (M-2005, settles F-F conjecture)
- $d = k$ (follows from Chrátal's result on simplices)

• $d = 3$ and $n > n_0(d, k)$ (M-2007)

• $1 \leq d \leq k$ and $n > n_0(d, k)$ (M-Ramadurai, Füredi-Ozkahya 2007⁺)

What about when n is smaller?

Theorem (Keevash-M 2007⁺)

For all $C > 2$ and $d \geq 2$, there exist integers T and k_0 s.t. if $k > k_0$

$$2k + T < n < Ck$$

and $G \subset \binom{[n]}{k}$ contains no

d -cluster, then $|G| < \binom{n-1}{k-1}$

unless G is a star.

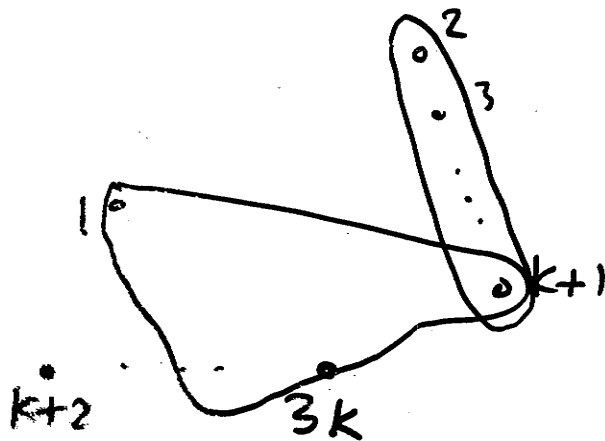
Result is false for $d=1$

Counterexample

$$n = 3k$$

$$|G| \approx \binom{n-1}{k-1} - \binom{\frac{2n}{3}-1}{k-1}$$

$$\sim \binom{n-1}{k-1}$$



Theorem (Keevash - M 2007⁺)

For all $d \geq 2$, $C > 2$, there exists $\delta > 0$ and integers T and k_0 s.t. the following holds for $k > k_0$:

Suppose that

$$2k + T \leq n \leq Ck$$

and $G \subset \binom{[n]}{k}$ satisfies

$$|G| > \left(1 - \delta \left(1 - \frac{2k}{n}\right)\right) \binom{n-1}{k-1}.$$

If G contains no d -cluster,

then G is a star. In particular,

$$|G| \leq \binom{n-1}{k-1}$$

Result is FALSE for $d=1$.

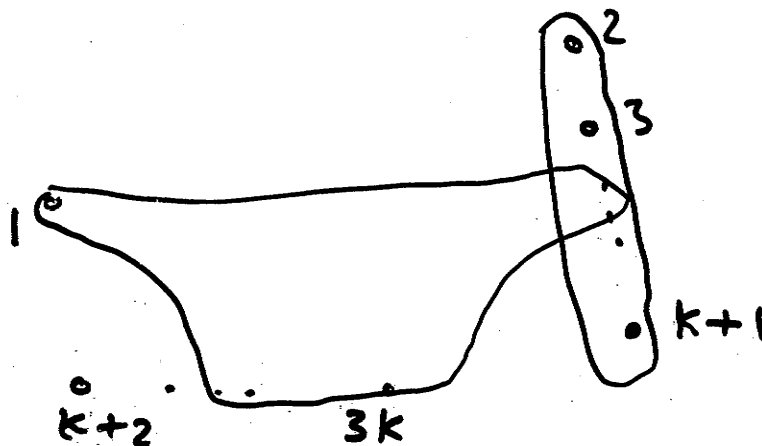
Counterexample :

$$n = 3k$$

$$|G_s| = \binom{n-1}{k-1} - \binom{n-k-1}{k-1} + 1$$

$$> \left(1 - \frac{1}{3}\right) \binom{n-1}{k-1}$$

- G_s is intersecting
- G_s is not a star



Intersecting families - Structure

Theorem (Keevash, M 2007⁺)

For all $C > 2$, $\epsilon > 0$ there exist $\delta > 0$ and integers T and k_0 s.t. the following holds for $k > k_0$:

Suppose that

$$2k + T \leq n \leq Ck$$

and $\mathcal{G} \subset \binom{[n]}{k}$ satisfies

$$|\mathcal{G}| > \left(1 - \delta \left(1 - \frac{2k}{n}\right)\right) \binom{n-1}{k-1}.$$

If \mathcal{G} is intersecting, then there is $x \in [n]$ s.t. all but at most $\epsilon \binom{n-1}{k-1}$ sets of \mathcal{G} contain x .

Supersedes results of Friedgut proved via Fourier analysis

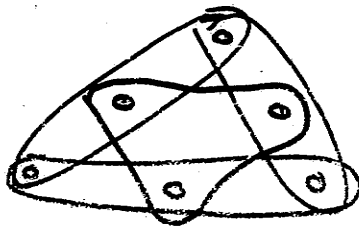
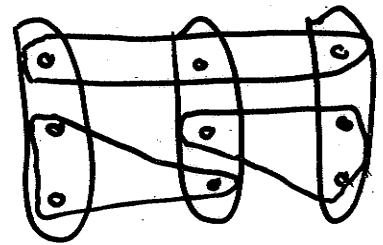
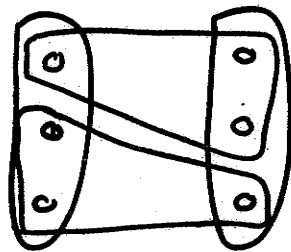
Two-regular Subsystems

A 2-regular subsystem is a collection of sets that cover each point in their union exactly twice

Ex For graphs

2-regular subsystem = cycle

$k=3$

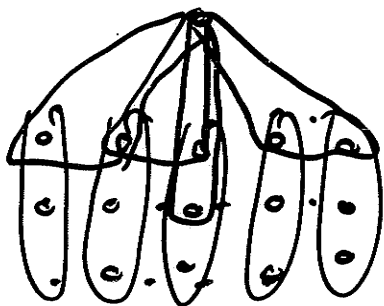


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Note the difference

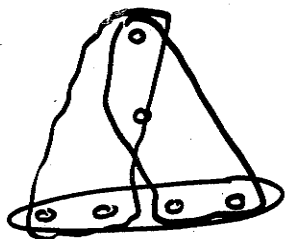
Constructions

$k=2$ Any tree — size $n-1$

$k=3$ Star + matching — size $\binom{n-1}{2} + \lfloor \frac{n-1}{3} \rfloor$



$k=4$ Star — $\binom{n-1}{3}$



Conjecture (M-Verstraëte)

Fix $k \geq 2$. There exists $n = n_0(k)$ s.t. if $n > n_0(k)$ and $G \subset \binom{[n]}{k}$ contains no 2-regular subsystem

then $|G| \leq \int \binom{n-1}{k-1}$ k even

$\int \binom{n-1}{k-1} + \lfloor \frac{n-1}{k} \rfloor$ k odd

Theorem (M-Verstraëte 2007⁺)

Fix $k \geq 2$. Then there exists $n_0(k)$
s.t. the following holds for $n > n_0(k)$:

If $G \subset \binom{[n]}{k}$ contains no 2-regular
subsystem, then

$$|G| \leq \begin{cases} \binom{n-1}{k-1} & k \text{ even} \\ \binom{n-1}{k-1} + n^{k-2} & k \text{ odd} \end{cases}$$

If $k \geq 4$ is even and equality holds
above, then G is a star.

Methods

- Ahlswede-Khachatryan Thm
- Cross intersection Thm (Frankel)
- Erdős-Ko-Rado for paths (M-Verstraëte)
- Large Deviation Chernoff bounds
- Katona circle method
- Compressions
- Stability Approach !!

Stability

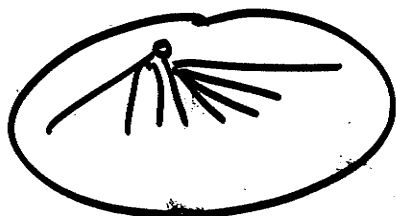
Introduced by Erdős-Simonovits in 1967
to solve problems in extremal graph theory

Idea If $F \not\subseteq G$ and $|G|$

is close to maximum, then the structure
of G is well-understood

Simple Example

Suppose G is a graph with no pair
of disjoint edges and no triangle and
 $|G| \sim n$. Then $G \sim \text{star}$



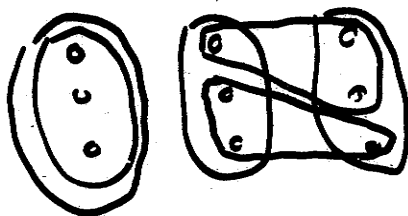
Counting Matchings

Observation (Lovász)

Let $G \subset \binom{[n]}{k}$ and M_1, M_2 be matchings in G such that

$$\bigcup_{S \in M_1} S = \bigcup_{T \in M_2} T.$$

Then $M_1 \Delta M_2$ is 2-regular



if # of matchings with s edges is greater than $\binom{n}{ks}$, then we have a 2-regular subgraph.

Lemma

Let $G \subset \binom{[n]}{3}$ contain no
2-regular subsystem. Then

$$|G| < 6 \Delta^{2/3} \cdot n,$$

where $\Delta = \Delta(G)$

Proof (Sketch)

Count matchings of size $m = \frac{|G|}{3\Delta}$.

The number of these matchings is
more than $\binom{n}{3m}$

Corollary

Every Steiner triple system contains
a 2-regular subsystem

Speculation

- Stability results play an important role in solving extremal problems.

The next few years should see more successes of this approach

- When stability is not an option, algebraic methods should apply, e.g.,
- Li-Li proof of Graham's conj and Turán's Theorem (1981)
- Razborov's work on counting triangles

HAPPY BIRTHDAY

TOM !!